

Report Number: QIP-ASR1841178

Audit Date : 27 Dec.,2018

This report is issued by Focus Technology Co., Ltd. (Made-in-China.com) and the supervising inspectorate (SGS-CSTC Standards Technical Services Co., Ltd.) to confirm that:

Company Name : Shanghai Leipold Electric Co., Ltd.
上海雷普电气有限公司

Showroom : <http://leipole.en.made-in-china.com>

Address : No. 1569, Siyi Road, Jiading District, Shanghai City, China

Product : Terminal Block, Fan Filter, Axial Fan, Transformer, Busbar Adopt, Cabinet, Accessories, Wire Duct, Switchgear

has been on site audited for the Following Scope of Activity

1. General Information
2. Foreign Trade Capacity
3. Product Research & Development Capacity
4. Management System and Product Certification
5. Production Capacity & Quality Control
6. Working Environment
7. Energy Saving and Emission Reduction
8. Photos



General Comments:

Shanghai Leipold Electric Co., Ltd. is a manufacturer with 174 employees; it was established in 2001, located in No. 1569, Siyi Road, Jiading District, Shanghai City, China. The company occupy an area of 13,112 square meters. Shanghai Leipold Electric Co., Ltd. have successful foreign trading experience in North America, South America, Africa, Australia, Europe, Southeast Asia and Mideast. The company has passed CE, CCC, ISO9001:2015 and ISO14001:2015 Certificate.



Sign for and on behalf of
SGS-CSTC Standards Technical Services Co., Ltd.



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SUPPLIER ASSESSMENT REPORT

Audited Company	Shanghai Leipold Electric Co., Ltd.
Audited Site:	No. 1569, Siyi Road, Jiading District, Shanghai City, China

Consigner of Assessment	Made-in-China.com		
Audit Type	<input type="checkbox"/> Initial Audit <input checked="" type="checkbox"/> Re-audit		
Audit Date	27 Dec.,2018	Verify Report	www.sgs.com/ecv
Auditor	Harvest Wu	Reviewed by	Simon Wang

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Part A: General Information

Section 1: Company Overview

1.1 Legal Validity			
Does the company have a valid business license?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Others	Registration Number	913101147033891045
Year of established	02 Jul.,2001	Valid Date	01 Jul.,2041
Registered address	No. 1569, Siyi Road, Jiading District, Shanghai City, China		
Actual address	No. 1569, Siyi Road, Jiading District, Shanghai City, China		
Does the company in abnormal operation status list of industrial and commercial bureau?	No		
Registered capital	RMB 21,000,000		
Name of legal representative	Mr. Shengjin Chen		
Business scope	Production and processing of electrical couplings, relay switches, fans, instrumentation, high and low voltage cabinets, aluminum alloy products (Produced by license), sales of electromechanical equipment, integrated electrical appliances, rubber and plastic products, to operate the export business of the enterprise's self-produced products and the import of machinery, spare parts and raw and auxiliary materials required by the enterprise (Except for goods and technologies that are restricted or restricted by the state) , the enterprise includes member companies controlled by the company, own house rental, production, processing and sales of low-voltage electrical appliances and dual power switches. (Projects subject to approval according to law may be approved by relevant departments before they can carry out business activities)		
1.2 Basic Information			
Contact person	Mr. Wei Cheng		
Phone number	0086-21-69157670	Fax number	0086-21-69157671
URL/Web address	http://www.leipole.com		
Company type	<input checked="" type="checkbox"/> Manufacturer <input type="checkbox"/> Trading Company <input type="checkbox"/> Combined <input type="checkbox"/> Group Corporation		
Type of ownership	<input checked="" type="checkbox"/> Limited Company <input type="checkbox"/> Public Company <input type="checkbox"/> Foreign joint venture <input type="checkbox"/> State-Owned <input type="checkbox"/> Private Owner <input type="checkbox"/> Wholly foreign-owned enterprises		
Associated company	No		
Products manufactured / sold scope	Terminal Block, Fan Filter, Axial Fan, Transformer, Busbar Adopt, Cabinet, Accessories, Wire Duct, Switchgear		
1.3 Company Building Information			
According to:			
<input type="checkbox"/> Land certificate <input checked="" type="checkbox"/> Real estate certificate <input type="checkbox"/> Lease agreement <input type="checkbox"/> Observation Estimated on site			
The company area 13,112 square meters			
The land occupies square meters. (Confidential)			
The offices occupy 2,000 square meters.			
The workshops occupy 11,112 square meters.			



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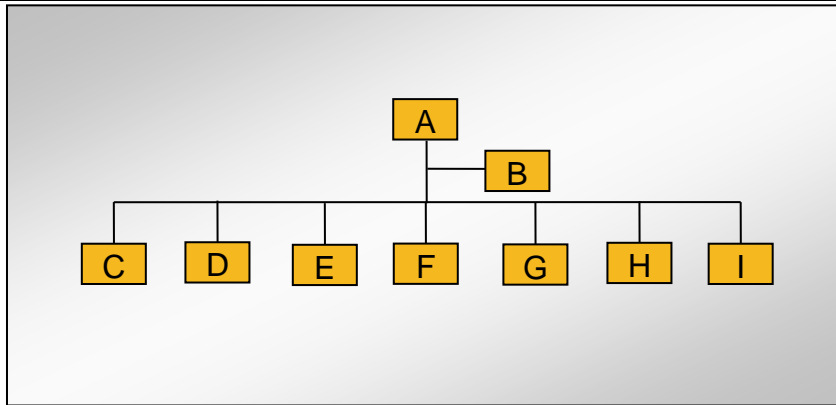
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Section 2: Human Resources

2.1 Company Chart



2.2 Explanation of Code and Employee Details

Code	Department	Number of employees
A	General Manager	1
B	Vice GM	1
C	Financial Dept.	3
D	Sales Dept.	18
E	Technical Dept.	8
F	Production Dept.	125
G	Purchase Dept.	2
H	QC Dept.	12
I	Administration Dept.	4
Number in total:		174

2.3 Key Staff

Title	Full name	Education	Working experience for this trade / total experience
General Manager	Mr. Hao Wang	University	Over 10 years / Over 10 years



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Part B: Foreign Trade Capacity

Section 1: Export Overall Situation

1.1 Export Overall Situation	
Does the company have a valid Import and Export license?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
The import and export enterprise code.	3100703389104
The number of foreign trading staff with relevant trading experience.	<input type="checkbox"/> within 1 year staff <input checked="" type="checkbox"/> 1-5 years 2 staffs <input checked="" type="checkbox"/> 6-10 years 1 staff <input checked="" type="checkbox"/> over 10 years 1 staff Total 4 staffs
The language freely used by foreign trade staff	<input checked="" type="checkbox"/> English <input type="checkbox"/> others:
Annual revenue of previous year	Confidential
Annual export revenue of previous year	Confidential
Estimated export revenue for this year.	Confidential
Overseas agent / branch	<input checked="" type="checkbox"/> Yes (Vietnam, Brazil, Singapore) <input type="checkbox"/> No
Nearest port	Shanghai Port
Acceptable quotation terms	<input checked="" type="checkbox"/> FOB <input checked="" type="checkbox"/> CIF <input checked="" type="checkbox"/> CFR
Acceptable payment terms	<input checked="" type="checkbox"/> LC <input checked="" type="checkbox"/> T/T <input type="checkbox"/> D/P <input type="checkbox"/> PayPal <input checked="" type="checkbox"/> Western Union <input type="checkbox"/> Small-amount payment
Average lead time (Peak Season)	<input type="checkbox"/> within 15 workday <input checked="" type="checkbox"/> one month <input type="checkbox"/> 2-3 months <input type="checkbox"/> 4-6 months <input type="checkbox"/> 6-12 months <input type="checkbox"/> more time
Average lead time (Off Season)	<input type="checkbox"/> within 15 workday <input checked="" type="checkbox"/> one month <input type="checkbox"/> 2-3 months <input type="checkbox"/> 4-6 months <input type="checkbox"/> 6-12 months <input type="checkbox"/> more time

Section 2: Export Business Capacity

2.1 Market Distribution (please list top three areas)		
Market	Main Product	Main client
<input checked="" type="checkbox"/> North America	Terminal Block, Fan Filter, Axial Fan, Transformer, Busbar Adopt, Cabinet, Accessories, Wire Duct, Switchgear	Confidential
<input checked="" type="checkbox"/> South America	Terminal Block, Fan Filter, Axial Fan, Transformer, Busbar Adopt, Cabinet, Accessories, Wire Duct, Switchgear	Confidential
<input checked="" type="checkbox"/> Europe	Terminal Block, Fan Filter, Axial Fan, Transformer, Busbar Adopt, Cabinet, Accessories, Wire Duct, Switchgear	Confidential
<input checked="" type="checkbox"/> Southeast Asia/ Mideast	Terminal Block, Fan Filter, Axial Fan, Transformer, Busbar Adopt, Cabinet, Accessories, Wire Duct, Switchgear	Confidential
<input checked="" type="checkbox"/> Africa	Terminal Block, Fan Filter, Axial Fan, Transformer, Busbar Adopt, Cabinet, Accessories, Wire Duct, Switchgear	Confidential
<input type="checkbox"/> East Asia (Japan/ South Korea)		
<input checked="" type="checkbox"/> Australia	Terminal Block, Fan Filter, Axial Fan, Transformer, Busbar Adopt, Cabinet, Accessories, Wire Duct, Switchgear	Confidential
<input checked="" type="checkbox"/> Domestic	Terminal Block, Fan Filter, Axial Fan, Transformer, Busbar Adopt, Cabinet, Accessories, Wire Duct, Switchgear	Confidential
<input type="checkbox"/> Others		



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Section 3: Supplier Management

3.1 Supplier Management		
Item	Content	Observations /Comments
1	Does the company establish and implement an effective suppliers' assessment procedure?	<input checked="" type="checkbox"/> Have the written procedures and followed records <input type="checkbox"/> Have the written procedures but no records <input type="checkbox"/> Have relevant records without written procedure <input type="checkbox"/> No written procedures or followed records <input type="checkbox"/> Other
2	Does the company have an updated list of approved suppliers?	<input checked="" type="checkbox"/> The approved suppliers list was updated in <u>2018</u> <input type="checkbox"/> Have the written suppliers without approved signature or date. <input type="checkbox"/> Provided some suppliers names <input type="checkbox"/> No approved suppliers list <input type="checkbox"/> Other

Section 4: After-sales Service Capacity

4.1 After-sales Service Capacity		
Item	Content	Observations /Comments
1	Is there a procedure to conduct random product inspection after final packaging in place?	<input checked="" type="checkbox"/> Have clear standards and written inspection records <input type="checkbox"/> No written standards but had inspection reports <input type="checkbox"/> Have the procedures but no inspection records <input type="checkbox"/> It's not necessary to carry out the inspection <input type="checkbox"/> Other
2	Is there a clear procedure for handling customer complaints?	<input checked="" type="checkbox"/> Has the clear procedure and followed records <input type="checkbox"/> Has the procedure but no written records. <input type="checkbox"/> No written procedures or records. <input type="checkbox"/> Other
3	Can the finished/packaged product be traced by lot identification to the appropriate raw materials test reports?	<input checked="" type="checkbox"/> Have the procedures to trace the raw materials. <input type="checkbox"/> Can trace main materials <input type="checkbox"/> Can trace production date. <input type="checkbox"/> Can't trace products <input type="checkbox"/> Other
4	Are corrective & preventive actions mechanism established and implemented effectively (including the suppliers/ sub-contractors control, incoming inspection, process control, final inspection and customer complaint)?	<input checked="" type="checkbox"/> Has the clear procedure and followed records <input type="checkbox"/> Has the procedure but no written records. <input type="checkbox"/> No written procedures or records. <input type="checkbox"/> Other



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Part C: Product Research & Development Capacity

1.1 Product Research & Development Capacity	
The amount of R&D and relevant working experience.	<input type="checkbox"/> within 1 year staff <input checked="" type="checkbox"/> 6-10 years 2 staffs <input checked="" type="checkbox"/> 1-5 years 5 staffs <input checked="" type="checkbox"/> over 10 years 1 staff Total 8 engineers
What is the main job responsibility for R&D engineers?	R&D new product and sample making
Is there any relevant design input, output, review, verification and validation documentation available for auditor to review?	YES
Is there any special software or instrument used by the R&D staffs during the design process of new products? If yes, please list the main software or instrument.	YES AutoCAD, UG
Does the company have an effective design change control procedure in place?	YES
Please list the patent certificates and qualification license.	Patent certificates: ZL 2013 1 0066928.5 ZL 2013 2 0096175.8 ZL 2015 2 0865005.0 ZL 2015 2 0865452.6



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Part D: Management System and Product Certification

1.1 Management System and Product Certification

<p>Management system certification</p>	<p><input checked="" type="checkbox"/> ISO9001:2015 certificate Certificate Name: ISO9001:2015 certificate NO.: 04117Q30142R5M Issued by: CTI Issued Date: 22 Aug.,2017 Valid Until: 16 Jun.,2020 Scope: The design, production and service of JBK control transformer, the production and service of terminal block, FK&FB series fan filter for industrial cabinet</p> <p><input checked="" type="checkbox"/> ISO14001:2015 certificate Certificate Name: ISO14001:2015 certificate NO.: 04117E30056R0M Issued by: CTI Issued Date: 22 Aug.,2017 Valid Until: 21 Aug.,2020 Scope: The design, production and service of JBK control transformer, the production and service of terminal block, FK&FB series fan filter for industrial cabinet</p>
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<p>Product certification</p>	<p><input checked="" type="checkbox"/> CE Certificate Name: CE NO.: M.2018.201.N4951 Product Name: Cable glands series Model: Annex page Standard: EN60309-1:1999/A2:2012, EN60947-1:2007/A2:2004 Issued by: UDEM Issue Date: 06 Aug.,2018</p> <p>Certificate Name: CE NO.: M.2018.201.N4950 Product Name: Axial fans and certrifugal fans Model: F2E-80B-230 Standard: EN60204-1:2006/AC:2010, EN61000-6-2:2005 Issued by: UDEM Issue Date: 06 Aug.,2018</p> <p><input type="checkbox"/> UL</p> <p><input checked="" type="checkbox"/> CCC Certificate Name: CCC NO.: 20130104016118608 Product Name: Shaded pole motor Model: appendix Standard: GB12350-2009 Issued by: CQC Issue Date: 05 May.,2017</p> <p><input type="checkbox"/> RoHS</p> <p><input type="checkbox"/> FCC</p> <p><input checked="" type="checkbox"/> Others Certificate Name: Product Certificate NO.: CQC13001095874 Product Name: Machine tool control transformer Model: JBK5-160VA Standard: GB19212.1-2008, GB19212.5-2011 Scope: Machine tool control transformer Issued by: CQC Issue Date: 15 Oct.,2018</p> <p><input type="checkbox"/> NIL</p>
<p>Test reports for raw materials</p>	<p><input type="checkbox"/> RoHS</p> <p><input type="checkbox"/> Reach</p> <p><input type="checkbox"/> Others</p> <p><input checked="" type="checkbox"/> NIL</p>



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Part E: Production Capacity & Quality Control

Section 1: Production Capacity

1.1 Production Workflow Chart					
	→		→		→
Raw Materials		Injection		Winding	
	→		→		
Assembly		Testing		Finished Products	







1.2 Products Situation (Top three Product Categories)					
Product	Price range	Min. order quantity	Top monthly output	Average monthly output	Total in 2017
<p>Terminal Block, Fan Filter, Axial Fan, Transformer, Busbar Adopt, Cabinet, Accessories, Wire Duct, Switchgear</p>	Confidential	Confidential	Confidential	Confidential	Confidential

1.3 Main Facilities				
Picture	Facility name	Brand or Country/Region of origin	Target Value/machine*day	Quantity
	Automatic Assembly Machine	China	Confidential	8
	Injection Machine	China	Confidential	13



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	Winding Machine	China	Confidential	2
	Automatic Screw Machine	China	Confidential	1
	EDM	China	Confidential	1
	Lathe Machine	China	Confidential	1
	Grinding Machine	China	Confidential	2
	Transformer Comprehensive Test Bench	China	Confidential	2

Section 2: Production Process Control

2.1 Production Process Control		
Item	Content	Observations /Comments
1	Product R&D capacity	<input checked="" type="checkbox"/> Own brand <input checked="" type="checkbox"/> ODM <input checked="" type="checkbox"/> OEM
2	Are the environmental conditions, such as tidiness and cleanliness being controlled and suitable for the operation performed?	<input type="checkbox"/> Very tidy <input checked="" type="checkbox"/> Normal <input type="checkbox"/> Need to improve <input type="checkbox"/> Very poor
3	Are the necessary items /documents provided at appropriate location and under control?	<input checked="" type="checkbox"/> Work Instructions /procedures <input type="checkbox"/> Workmanship standard /acceptance <input type="checkbox"/> Golden sample /Approval sample <input checked="" type="checkbox"/> Product picture <input type="checkbox"/> Verbal by workshop director
4	Are written instructions available for incoming material inspections /testing? Is the relevant record maintained?	<input checked="" type="checkbox"/> Has instructions and uniformly followed <input type="checkbox"/> Has instruction but no written records <input type="checkbox"/> Materials checked by storage staff
5	Are written inspections /testing instructions available for finished products? Is the relevant record maintained?	<input checked="" type="checkbox"/> Have instructions and uniformly followed <input type="checkbox"/> Have instruction but no written records <input type="checkbox"/> Finished product checked by packing staff
6	What type of inspection is used for finished products?	<input type="checkbox"/> Random inspection <input type="checkbox"/> Visual inspection <input type="checkbox"/> Function inspection <input checked="" type="checkbox"/> 100% inspection <input checked="" type="checkbox"/> Visual inspection <input checked="" type="checkbox"/> Function inspection
7	Are non-conforming units clearly marked/ segregated to prevent accidental dispatch?	<input checked="" type="checkbox"/> Marked and segregated <input type="checkbox"/> Segregated but not marked clearly <input type="checkbox"/> Not found on site
8	How are the non-conforming units handled?	<input checked="" type="checkbox"/> Repaired and re-inspection <input checked="" type="checkbox"/> Picked out <input type="checkbox"/> Used under control <input type="checkbox"/> Others



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Part G: Working Environment

Section 1: Working Environment

1.1 Welfare Benefits		
Item	Content	Observations /Comments
1	Does the company have effective procedures to verify the age of staff at the time of recruitment?	<input checked="" type="checkbox"/> Has written procedure and keeps adequate age documents of workers <input type="checkbox"/> Has written procedure but doesn't follow records <input type="checkbox"/> Hasn't written procedure or follows records
2	Do all workers sign employment contracts with the factory?	<input checked="" type="checkbox"/> All workers sign employment contracts <input type="checkbox"/> Some workers sign employment contracts <input type="checkbox"/> Only management staff sign employment contracts <input type="checkbox"/> No staff sign employment contracts
3	Is statutory contribution required for all employees' social insurance (e.g. health insurance, unemployment insurance, accident insurance etc.) paid for by the enterprise?	<input checked="" type="checkbox"/> All workers have social insurance. <input type="checkbox"/> Some workers have social insurance <input type="checkbox"/> Only management staffs have social insurance <input type="checkbox"/> No staff have social insurance
4	Does the company have a clear and effective policy on working hours, rest and vacations? If does, please list it.	<input checked="" type="checkbox"/> All staffs work kept to the policy <input type="checkbox"/> Most of the time it keeps to the policy except midseason. <input type="checkbox"/> Usually needs overtime. <input type="checkbox"/> No relevant records for working hours Describe the working hours: 8 hours per day
5	Does the company pay extra remunerations for all overtime work?	<input checked="" type="checkbox"/> For all overtime work. <input type="checkbox"/> For official holidays <input type="checkbox"/> For official holidays except weekend. <input type="checkbox"/> No extra remunerations for overtime.
6	Does the company have dormitories for staff? If yes, please describe the condition.	<input type="checkbox"/> Provide dormitories for all staff <input type="checkbox"/> Provide dormitories for workers <input type="checkbox"/> Provide dormitories for management staff <input checked="" type="checkbox"/> No dormitories were provided. Describe the condition: N/A
1.2 Labor Protection		
Item	Content	Observations /Comments
1	Are there uniforms for all staff in company?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Other
2	Is the emergency medical supplies enough and easily used in workshop?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Other
3	Does the company arrange health and safety training for new workers?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Other
4	Do the workers have the appropriate protective equipment during operation in workshop? Such as gloves, masks.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Other
5	Is there training needed and carried out for fire protection?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Other



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Part H: Energy Saving and Emission Reduction

Section 1: Environmental Management

1.1 Environmental Management		
Item	Content	Observations /Comments
1	Environmental Management System	<input checked="" type="checkbox"/> ISO 14001 Certificate <input type="checkbox"/> Cleaner production management <input type="checkbox"/> NIL
2	Environmental Impact Assessment	<input checked="" type="checkbox"/> The Report of Environmental impact assessment is approved by EPA Shanghai. <input type="checkbox"/> NIL
3	Check and Acceptance of Completed Constructive Projects	<input checked="" type="checkbox"/> The Report Completed Constructive Projects Inspection and Acceptance is approved. <input type="checkbox"/> NIL
4	"Three Simultaneity" for Environmental protection	<input checked="" type="checkbox"/> The Report of "Three Simultaneity" for Environmental protection Inspection and Acceptance is available. <input type="checkbox"/> NIL

Section 2: Water, Gas and Noise Control

2.1 Water, Gas and Noise Control		
Item	Content	Observations /Comments
1	Water-intaking	<input type="checkbox"/> Water-intaking license <input type="checkbox"/> No water-intaking license <input checked="" type="checkbox"/> No water taken from natural water body
2	Waste water	<input checked="" type="checkbox"/> Water-draining license or contract <input type="checkbox"/> Having carried out waste water treatment before draining <input type="checkbox"/> Having carried out waste water monitoring and the result of which being within the standard limit <input type="checkbox"/> No detail activities were found
3	Emission to air	<input checked="" type="checkbox"/> Air pollutant emission license <input type="checkbox"/> Having carried out air pollutant emission monitoring and the result of which being within the standard limit <input type="checkbox"/> No detail activities were found to reduce emission to air
4	Classification and Recycling for solid waste	<input checked="" type="checkbox"/> Having applied trash classification recycling <input type="checkbox"/> Having special warehouse for hazardous waste <input type="checkbox"/> No detail activities were found
5	Noise	<input checked="" type="checkbox"/> The company carried out noise monitoring and the result of which being within the standard limit one time per year <input type="checkbox"/> No detail activities were found



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 中国·南京·秦淮区光华路1号紫金台双创特别社区4号楼B幢5楼 邮编: 210014 t (86-25) 87128385 f (86-25) 83407773 e as.cn@sgs.com

Part J: Photos

Section 1: Photos of Documents

1.1 Photos of Documents

<p>Description: Business License</p>	<p>Description: Export License</p>
<p>Description: Real Estate Certificate</p>	<p>Description: ISO9001:2015</p>
<p>Description: ISO14001:2015</p>	<p>Description: CE</p>



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 中国·南京·秦淮区光华路1号紫金台双创孵化特别社区4号楼B幢5楼 邮编: 210014 t (86-25) 87128385 f (86-25) 83407773 e as.cn@sgs.com

Description: CE



Description: Brand Certificate



Description: Brand Certificate



Description: CCC



Description: Product Certificate



Description: Patent



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Description: Patent



Description: Patent



Description: Patent



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Section 2: Photos of Company

2.1 Photos of Company and Product Sample

Description: Company Gate



Description: Office Building



Description: Workshop Building



Description: Workshop



Description: Showroom



Description: Product Samples



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Description: Product Samples



Description: Product Samples



-- End of the Report --



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